

Claim Lodged Under Guarantee Advised - Islamic  
**Oracle Banking Trade Finance Process  
Management**

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Oracle Banking Trade Finance Process Management - Claim Lodged under Guarantee Advised - Islamic User Guide  
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# Contents

<b>Oracle Banking Trade Finance Process Management</b> .....	<b>1</b>
Overview.....	1
Benefits.....	1
Key Features .....	1
<b>Claim Lodged Under Guarantee Advised - Islamic</b> .....	<b>2</b>
Common Initiation Stage.....	2
Registration.....	3
Application Details .....	5
Guarantee Details.....	7
Miscellaneous.....	12
Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.....	13
Data Enrichment .....	13
Main Details.....	16
Claim Details .....	22
Document Details .....	27
Additional Fields .....	29
Advices .....	31
Additional Details.....	32
Settlement Details .....	47
Summary .....	49
Multi Level Approval.....	52
Re-Key Authorization.....	52
<b>Reference and Feedback</b> .....	<b>57</b>
References.....	57
Documentation Accessibility.....	57
Feedback and Support.....	57

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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

## Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Claim Lodged Under Guarantee Advised - Islamic

The beneficiary of the Guarantee/SBLC can raise a claim under the Guarantee/SBLC within the validity period of Guarantee/SBLC.

The system is enabled to process the claim for the Bank Guarantee which is not advised by the bank.

The various stages involved for Claim under Guarantees Advised process are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents
- Input/Modify (On-Line/Non-Online) details of Claim - Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for charges
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advice Lodge Claim process flow is similar to that of conventional Guarantee Advice process flow.

In the subsequent sections, let's look at the details for Lodge Claim - Guarantee Advised process:

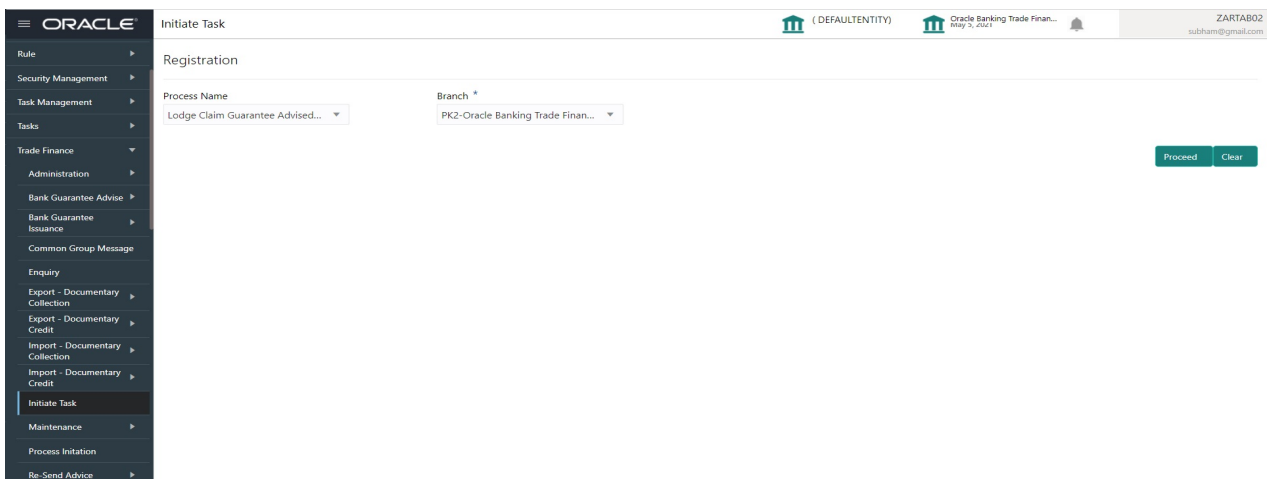
This section contains the following topics:

<a href="#">Common Initiation Stage</a>	<a href="#">Registration</a>
<a href="#">Data Enrichment</a>	<a href="#">Multi Level Approval</a>

## Common Initiation Stage

The user can initiate the new Claim under Islamic Guarantee Advise request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

### Action Buttons

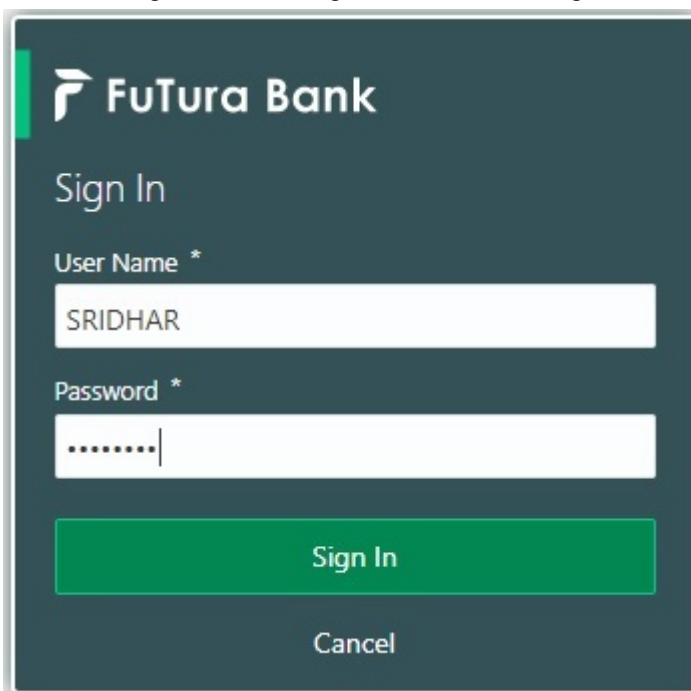
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

As a Registration user, you can register a claim request against the Guarantee/SBLC Advised. During registration user can capture the basic details of the Claim information.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

SRIDHAR

Password \*

.....

Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The screenshot shows the FuTura Bank dashboard with a sidebar menu on the left containing options like Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area contains several widgets:

- Draft Confirmation Pending:** A table with columns Customer Name, Application Date, and Status. Data includes EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns Branch, Process Name, and Stage Name. Data includes Bank Futura, NA, and Retry HandOf.
- Priority Details:** A table with columns Branch, Process Name, and Stage Name. Data includes Bank Futura, NA, Amount Blo, and Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- SLA Breach Details:** A table with columns Customer Name, SLA Breached(mins), and Priority. Data includes NA (23474 H KEERTIVO1), HSBC BANK (26667 M SHUBHAM), WALL MART (23495 SHUBHAM), and EMR & CO (26780 M GOPINATH01).
- Priority Summary:** A table with columns Branch, Process Name, and Stage Name. Data includes 203, Cucumber Testing, and test descrip.
- Hold Transactions:** A table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A widget showing Cucumber Testing.
- Tasks Detailed:** A widget showing Cucumber Testing.

3. Click Trade Finance - Islamic > Bank Guarantee Advice> Lodge Claim - Guarantee Advised Islamic.

The screenshot shows the Oracle dashboard with a sidebar menu on the left containing options like Core Maintenance, Dashboard, Machine Learning, Rule, Security Management, Task Management, Tasks, Trade Finance, and Trade Finance - Islamic. The main dashboard area contains several widgets:

- SLA Status Summary:** A donut chart showing SLA status with a value of 268. Legend includes Within SLA (green), Nearing SLA (orange), and SLA breached (red).
- High Priority Tasks:** A table with columns Process Reference Number, Branch, and Process Name. Data includes PK2GADC000011459 (Guarantee SBLC Ad) and PK2IGT000009414 (Guarantee Issuance).
- % Oversight Corrections:** A line chart showing oversight corrections over time.
- Model Inference Time:** A line chart showing model inference time over time.
- Priority Summary:** A table with columns Branch, Process Name, Stage Name, and No of High Priority. It shows "No data to display."


The Registration stage has two sections Application Details and Guarantee Details. Let's look at the Registration stage:

# Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Advised by Us	By default this Toggle button is enabled. Disable the option, if Guarantee not Advised by us. If the toggle is disabled, system enables the input of values for all the fields in the Main Details section. System also hide/disable the ABK/ATB Reference Number.	
ABK/ATB Reference Number	User can enter the Advising Bank/Advise Through Bank Guarantee Reference or select it from look-up. This field is disabled, if Guarantee Advised by Us toggle is disabled.	
Beneficiary ID/ Name	Read only field, if the <b>Guarantee Advised by Us</b> toggle button is enabled. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC Advise. Specify the beneficiary Id/name or click search to search and select the customer id value from the look up, if Guarantee Advised by Us toggle is disabled. Once user input or select the customer id value from the LOV and on tab out, the process reference number will be generated.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the Customer ID/ Name from Guarantee/ SBLC Advise.	203-Bank Futura -Branch FZ1



Field	Description	Sample Values
Claim Serial Number	<p>Read only field, if the <b>Guarantee Advised by Us</b> toggle button is enabled..</p> <p>System defaults the claim serial number from Guarantee/ SBLC Advise. This should be the latest claim number available in back-end system +1.</p> <p>Specify the claim serial number (to validate with Back office system if data is available), if Guarantee Advised by Us toggle is disabled.</p> <p>This field will be editable when the toggle button "Guarantee Advised by Us" is disabled. This is a mandatory field.</p>	
Process Reference Number	<p>Unique sequence number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p> <p>Once user inputs the Beneficiary ID, the system will generate the process reference number.</p>	203GTEISS000 001134
Priority	<p>Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.</p>	High
Submission Mode	<p>Submission Mode is defaulted as per maintenance.</p> <p>If not maintained, then system is to populate the default submission mode.</p> <p>By default the submission mode will have the value as 'Desk'.</p> <p>Select the submission mode of Guarantee Advise request. By default the submission mode will have the value as 'Desk'.</p> <p>The values are:</p> <p><b>Desk</b>- Request received through Desk</p> <p><b>Fax</b> - Request received through Fax</p> <p><b>Email</b> - Request received through Email</p>	Desk
Claim Lodgement Date	<p>By default, the application will display branch's current date. Read only field.</p> <p> <b>Note</b></p> <p>Future date and back date selection is not allowed.</p>	04/13/2018

Field	Description	Sample Values
Beneficiary Reference Number	<p>Specify the 'Beneficiary Reference number' if <b>Guarantee Advised by Us</b> toggle, button is enabled.</p> <p>System defaults the Beneficiary Reference number, if the <b>Guarantee Advised by Us</b> toggle button is disabled. User can change the Beneficiary Reference number.</p>	
Issuing Bank	<p>System defaults the issuing bank from Guarantee/ SBLC Advise (applicable for CTB,LTB)</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled.</p> <p>Specify or click search to search and select the the issuing bank id value from the look-up. User to input the Customer Reference Number to capture the Issuing Bank's Reference. Except Customer Id and Customer Name, other fields are editable. In case of walkin id, customer name can also be editable.</p>	

## Guarantee Details

Registration user can provide Guarantee Details in this section. Alternately, Guarantee Details can be provided by Scrutiny user.

[View Guarantee/SBLC](#)   [Guarantee/SBLC Events](#)


**Guarantee Details**



<p>Guarantee Type</p> <input type="text"/>	<p>30 Date of Issue</p> <input type="text" value="May 5, 2021"/>	<p>Purpose of Message</p> <input type="text" value="ADVI"/>	<p>23B Expiry Type</p> <input type="text" value="FIXD"/>
<p>31E Date of Expiry</p> <input type="text" value="Aug 3, 2021"/>	<p>Claim Date</p> <input type="text" value="Aug 3, 2021"/>	<p>Claim Expiry Date</p> <input type="text" value="Aug 3, 2021"/>	<p>Outstanding Currency/ Amount *</p> <input type="text" value="GBP"/> <input type="text" value="£1,000.00"/>
<p>40C Applicable Rules</p> <input type="text" value="URDG - Uniform rules for dema..."/>	<p>Applicant Bank</p> <input type="text"/>	<p>50 Applicant</p> <input type="text" value="001041"/> <input type="text" value="WELLS FARGO L"/>	<p>Advising Bank</p> <input type="text"/>
<p>Advise Through Bank</p> <input type="text"/>	<p>Counter Guarantee Issuing Bank</p> <input type="text"/>	<p>Local Guarantee Issuing Bank</p> <input type="text"/>	

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	<p>Read only field.</p> <p>System defaults the value from Guarantee/ SBLC Advised.</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled. Specify the type of Guarantee from the following drop-down values:</p> <ul style="list-style-type: none"> <li>• APAY- Advance Payment Guarantee</li> <li>• BILL- Bill of Lading Guarantee</li> <li>• CUST- Customs Guarantee</li> <li>• DPAY- Direct pay Guarantee</li> <li>• INSU- Insurance Guarantee</li> <li>• JUDI- Judicial Guarantee</li> <li>• LEAS- Lease Guarantee</li> <li>• OTHR- Other Guarantee</li> <li>• PAYM- Payment Guarantee</li> <li>• PERF- Performance Guarantee</li> <li>• RETN- Retention Guarantee</li> <li>• SHIP- Shipping Guarantee</li> <li>• TEND- Tender Guarantee</li> <li>• WARR- Warranty/Maintenance</li> </ul>	ADVP
Product Code	<p>Click search to search and select the product codes related to Product Type A (Guarantee Advise) and B (SBLC Advise) from the look-up where <b>Guarantee Advised by Us</b> flag is enabled at the back office.</p>	
Contract Reference Number	<p>The system will generate the Guarantee Number once the product code is selected by the user.</p>	
Date of Issue	<p>Read only field.</p> <p>System defaults the value from Guarantee/ SBLC Advised.</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled.</p> <p>The system to default it to branch date, User can modify it.</p>	04/13/18
Purpose of message	<p>Read only field.</p> <p>System defaults the purpose of message from Guarantee/ SBLC Advised.</p> <p>ACNF - Advice and confirmation of issued undertaking is not applicable. Hence, the system will default the Purpose of Message as ADVI - Advice of issued undertaking.</p>	

Field	Description	Sample Values
Expiry Type	<p>This field indicates whether undertaking has specified expiry date or is open-ended.</p> <p>System defaults the expiry type from Guarantee/ SBLC Advised.</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled. Select the type of Expiry from the drop-down list. The options are:</p> <ul style="list-style-type: none"> <li>• Fixed</li> <li>• Open</li> <li>• Conditional.</li> </ul>	
Date Of Expiry	<p>Expiry date of the Guarantee Advised.</p> <p>System defaults the expiry date from Guarantee/ SBLC Advised.</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled.</p> <p>Specify the expiry date. Available validation in OBTFPM is applicable here also.</p>	09/30/18
Expiry Condition/Event	<p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled.</p> <p>This field is enabled, if <b>Expiry Type</b> is <b>Conditional</b>, this field will appear and user needs to input the condition.</p>	09/30/18
Claim Date	<p>System defaults the claim date from Guarantee/ SBLC Advised.</p> <p>Specify the claim date.</p>	04/13/2018
Claim Expiry Date	Specify the claim expiry date.	04/13/2018
Outstanding Currency/ Amount	<p>System defaults the outstanding currency and amount from Guarantee/ SBLC Advised.</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled.</p> <p>If toggle button is enabled, this field should capture the Guarantee Contract Amount.</p> <p>Specify the contract amount and select the currency from the drop-down list of available currency codes. Available validation in OBTFPM is applicable here also.</p>	

Field	Description	Sample Values
Applicable Rules	<p>Rules for Guarantee. Read only field.</p> <p>System defaults the value from Guarantee/ SBLC Advised.</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled.</p> <p>It will be defaulted from Product Maintenance. User can change to following values using look-up:</p> <ul style="list-style-type: none"> <li>• ISPR</li> <li>• NONE</li> <li>• OTHR</li> <li>• UCPR</li> <li>• URDG</li> </ul>	URDG - Uniform rules for demand guarantees
Applicant Bank	<p>Read only field.</p> <p>System defaults the applicant bank details from Guarantee/ SBLC Advised.</p> <div style="text-align: center;">  <p><b>Note</b></p> </div> <p>This field does not appear if the toggle button Guarantee Advised by Us is disabled.</p>	001345 Nestle
Applicant	<p>Read only field.</p> <p>System defaults the applicant from Guarantee/ SBLC Advised.</p> <p>This field is editable if the <b>Guarantee Advised by Us</b> toggle button is disabled.</p> <p>Specify the applicant details.</p>	001345 Nestle
Advising Bank	<p>Read only field.</p> <p>System defaults the advising bank if available.</p> <p>This field is editable when the toggle button <b>Guarantee Advised by Us</b> is disabled.</p> <p>Specify the Customer Reference Number to capture the Advising Bank's Contract Reference Number. Except Customer Id and Customer Name, other fields are editable. In case of walkin id, customer name can also be editable. If toggle button is enabled, this field should capture the Advising Bank (if any) and is grayed out.</p>	001343 - Bank Of America

Field	Description	Sample Values
Advising Through Bank	<p>Read only field.</p> <p>System defaults the advising through bank if available.</p> <p>This field is editable when the toggle button <b>Guarantee Advised by Us</b> is disabled.</p> <p>User can input Advise through bank details manually. If toggle button is enabled, this field should capture the Advising Bank (if any) and is greyed out.</p>	Advising Bank Reference
Counter Guarantee Issuing Bank	<p>Read only field.</p> <p>System defaults the counter guarantee issuing through bank if available.</p> <p> <b>Note</b> This field does not appear if the toggle button <b>Guarantee Advised by Us</b> is disabled.</p>	
Local Guarantee Issuing Bank	<p>Read only field.</p> <p>System defaults the local guarantee issuing bank if available.</p> <p> <b>Note</b> This field does not appear if the toggle button <b>Guarantee Advised by Us</b> is disabled.</p>	

## Miscellaneous

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required claim documents.	
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View Guarantee/SBLC	User can view the the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
<b>Action Buttons</b>		
Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Advice Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.  1. Signatures on Claim verified 2. Documents are verified and uploaded	

## Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

OBTFPM supports the Bi-Directional Flow for Offline Transactions initiated from OBTFPM directly. Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

### Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.

### Steps of Bi-Directional Flow

1. Customer Maintenance details are replicated from OBTF to OBTFPM.
2. In OBTFPM, user clicks on **Request Clarification**, , the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder, which is an existing functionality.
3. .In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system displays the error message that 'The customer is not subscribed to Trade Finance Portal'.
5. Once the request is submitted, the existing Request Clarification functionality would be applicable to offline initiated transactions also.

## Data Enrichment

As part of Data Enrichment, user can enter/update the various fields of the claim request. The user can also input the transaction details.



For claims initiated through Registration stage, the user can verify/update details in Data Enrichment stage. For Claims received through MT 765/Internet Banking/MT 798 upload, the task will be created directly in Data Enrichment stage for further processing.

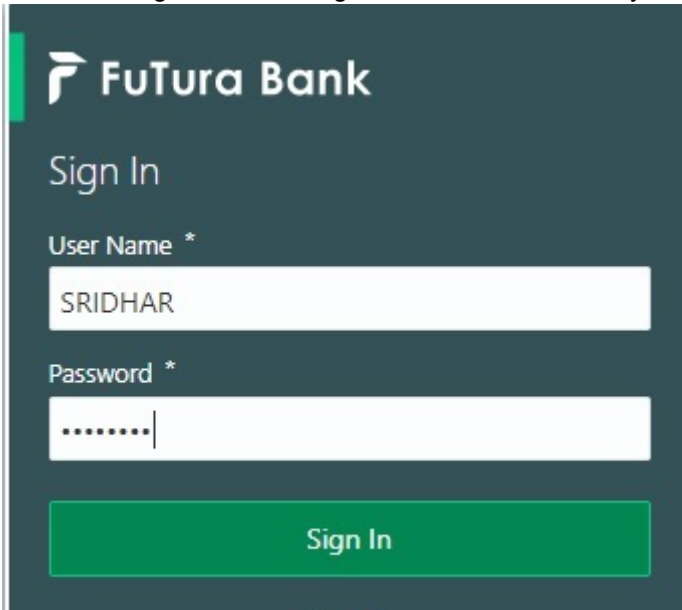


**Note**

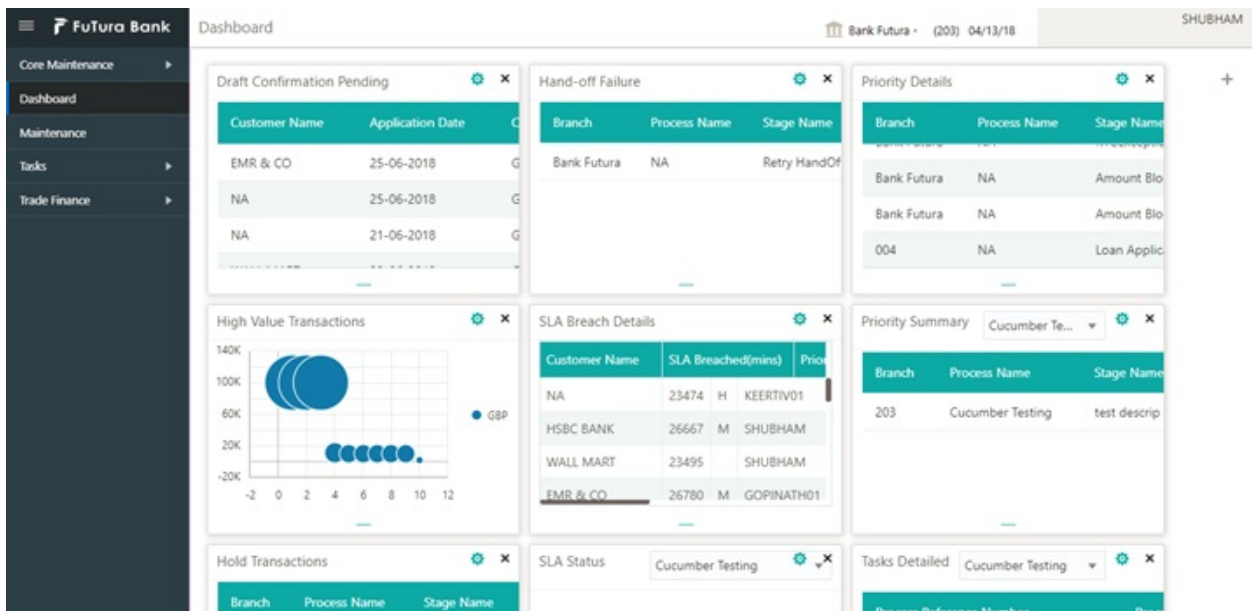
For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the Registration and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click **Tasks > Free Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Guarantee Advise Claim Lodging Islamic	PK2IGCA000071827	PK2IGCA000071827	DataEnrichment	22-04-01	PK2	001044
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071824	PK2IGTM000071824	DataEnrichment	22-03-31	PK2	001044
Acquire & Edit	High	Import LC Issuance	PK2ILCI000071817	PK2ILCI000071817	Scrutiny	22-03-31	PK2	001043
Acquire & Edit	Medium	GuaranteeAdv Amendment Beneficiary...	PK2IGAA000071759	PK2IGAA000071759	KYC Exceptional a...	22-03-30	PK2	001044
Acquire & Edit	Medium	Guarantee SBLC Advised-Claim Update ...	PK2IGAC000071729	PK2IGAC000071729	AmountBlock Exce...	22-03-29	PK2	001044
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001044
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001044
Acquire & Edit	Medium	Import LC Issuance	PK1ILCI000071684	PK1ILCI000071684	Handoff RetryTask	22-03-27	PK2	000325
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071660	PK2GTEA000071660	Registration	22-03-25	PK2	001044
Acquire & Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071658	PK2GTEC000071658	DataEnrichment	22-03-25	PK2	000325
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	000325

Page 1 of 171 (1 - 20 of 3408 items)

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Guarantee Advise Claim Lodging Islamic	PK2IGCA000071827	PK2IGCA000071827	DataEnrichment	22-04-01	PK2	001044
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071824	PK2IGTM000071824	DataEnrichment	22-03-31	PK2	001044
Acquire & Edit	High	Import LC Issuance	PK2ILCI000071817	PK2ILCI000071817	Scrutiny	22-03-31	PK2	001043
Acquire & Edit	Medium	GuaranteeAdv Amendment Beneficiary...	PK2IGAA000071759	PK2IGAA000071759	KYC Exceptional a...	22-03-30	PK2	001044
Acquire & Edit	Medium	Guarantee SBLC Advised-Claim Update ...	PK2IGAC000071729	PK2IGAC000071729	AmountBlock Exce...	22-03-29	PK2	001044
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001044
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001044
Acquire & Edit	Medium	Import LC Issuance	PK1ILCI000071684	PK1ILCI000071684	Handoff RetryTask	22-03-27	PK2	000325
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071660	PK2GTEA000071660	Registration	22-03-25	PK2	001044
Acquire & Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071658	PK2GTEC000071658	DataEnrichment	22-03-25	PK2	000325
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	000325

Page 1 of 171 (1 - 20 of 3408 items)

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	Medium	Guarantee Advise Claim Lodging Islamic	PK2IGCA000071827	PK2IGCA000071827	DataEnrichment	22-04-01	PK2	001044
Edit	Medium	Guarantee SBLC Advised-Claim Up...	PK2GADC000071820	PK2GADC000071820	DataEnrichment	22-03-31	PK2	001044
Edit	Medium	Islamic Guarantee Advise Closure	PK2IGCD000071813	PK2IGCD000071813	DataEnrichment	22-03-31	PK2	001044
Edit	Medium	Guarantee Issuance Closure Islamic	PK2IGCL000071804	PK2IGCL000071804	DataEnrichment	22-03-31	PK2	001043
Edit	Medium	Guarantee Cancellation Islamic	PK2IGCI000071767	PK2IGCI000071767	Approval Task Level 1	22-03-30	PK2	001044
Edit	Medium	Guarantee SBLC Advised-Claim Up...	PK2IGAC000071725	PK2IGAC000071725	Approval Task Level 1	22-03-28	PK2	001204
Edit	Medium	Islamic Export LC Closure	PK2IECL000071551	PK2IECL000071551	Approval Task Level 1	22-03-23	PK2	001043
Edit	Medium	Islamic ExportLC Amendment Bene...	PK2IETB000071466	PK2IETB000071466	KYC Exceptional approval	22-03-22	PK2	001204
Edit	Medium	Guarantee Issuance Amendment Is...	PK2IGTM000071450	PK2IGTM000071450	Registration	22-03-22	PK2	001043
Edit	Medium	Guarantee Issuance Amendment Is...	PK2IGTM000071448	PK2IGTM000071448	Registration	22-03-22	PK2	001044
Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071396	PK2GTEC000071396	DataEnrichment	22-03-17	PK2	001044
Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071394	PK2GTEC000071394	DataEnrichment	22-03-17	PK2	001044
Edit	Medium	Guarantee Advise Amendment	PK2GTAA000071391	PK2GTAA000071391	DataEnrichment	22-03-17	PK2	001044

Page 1 of 3 (1 - 20 of 46 items)

The Data Enrichment stage has three sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details

- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of claim under Guarantee/SBLC - DE Stage. Some of the fields that are already having value from registration/online channels may not be editable.

## Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

## Application Details

The Details input in Registration Stage will be automatically populate in Application Details and Guarantee Details Sections (Main Details).


All fields displayed under Application details section, would be read only except for the **Priority, Submission Mode and Beneficiary Reference Number** field. Refer to [Application Details](#) for more information of the fields.

In case of SWIFT MT 765, the bank/ Financial institution can lodge a claim under a Guarantee/SBLC.

STP of MT 765 for Guarantee/SBLC advised is triggered when an incoming claim is received by the advising bank from the Presenting bank or Advise through bank or Beneficiary through SWIFT.

The incoming MT 765 should be parsed and the system should create a task directly in Data Enrichment Stage. Once the user clicks on the free task, the system should display the following fields.

Field	Description	Sample Values
Guarantee Advised by Us	Read only field. System populates the value from <b>Registration</b> stage.	

Field	Description	Sample Values
ABK/ATB Reference Number	Read only field. System populates the undertaking number from the incoming SWIFT MT 765, Tag 21 Related Reference.	
Beneficiary ID/ Name	Read only field. System should populate the Applicant ID and Name and address from the underlying Guarantee/SBLC details from Back office.	001345
Branch	Read only field. System defaults the branch code as applicable.	203-Bank Futura -Branch FZ1
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Advise. This should be the latest claim number available in back-end system +1.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the value.	Medium
Submission Mode	System should default the submission mode as SWIFT. User can change the value.	SWIFT
Claim Lodgement Date	Read only field. By default, the application will display branch's current date.   <b>Note</b> Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	System populates Tag 23 - Beneficiary Reference Number from the Incoming MT 765. User can change the value.	

## Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

In case of SWIFT MT 765, the system displays the following fields.

Field	Description	Sample Values
Product Code	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.	
Product Description	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.	
Contract Reference Number	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.	
Guarantee Type	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.	ADVP
Date of Issue	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from underlying Guarantee/ SBLC Advised.	
Expiry Type	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.	
Date Of Expiry	Read only field. System defaults the expiry date from underlying Guarantee/ SBLC Advised.	09/30/18
Expiry Condition/ Event	Read only field. System defaults the expiry date from underlying Guarantee/ SBLC Advised.	


Field	Description	Sample Values
Claim Date	Read only field. System defaults the claim date from underlying Guarantee/ SBLC Advised.	04/13/2018
Claim Expiry Date	Read only field. System defaults the claim expiry date from underlying Guarantee/ SBLC Advised.	04/13/2018
Currency Code, Amount	Read only field. System defaults the claim expiry date from underlying Guarantee/ SBLC Advised.	
Outstanding Currency/ Amount	Read only field. System defaults the outstanding currency and amount from underlying Guarantee/ SBLC Advised.	
Applicable Rules	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from underlying Guarantee/ SBLC Advised.	001345 Nestle
Applicant	Read only field. System defaults the applicant from underlying Guarantee/ SBLC Advised.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank from underlying Guarantee/ SBLC Advised.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank from underlying Guarantee/ SBLC Advised.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank from underlying Guarantee/ SBLC Advised.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank from underlying Guarantee/ SBLC Advised.	



## Action Buttons

Use action buttons based on the description in the following table:


Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	The user can cancel the details captured in the screen.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>  <p><b>Note</b> Not applicable for STP of SWIFT MT 765.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user to view the undertaking details.</p>	



## Claim Details

As a part of Claim Details user can enter and scrutinize the claim details under a Guarantee/SBLC - DE stage. In case the request is received through online channel, user will verify the details populated.

Field	Description	Sample Values
Claiming Bank Reference	<p>The user can enter the claiming bank reference details, if the claimed is not received from Beneficiary.</p> <p>In case of SWIFT MT 765, system populates the Tag 20, Transaction Reference Number from the incoming MT 765.</p>	
Date of Demand	<p>User can enter the date on which the demand is issued by the beneficiary.</p> <p> <b>Note</b> The date cannot be a future date.</p> <p>In case of SWIFT MT 765, system populates the Tag 31L, Transaction Reference Number from the incoming MT 765.</p>	
Demand Indicator	<p>Read Only field.</p> <p>System defaults value from Guarantee /SBLC Advise.</p>	

Field	Description	Sample Values
Demand Type	<p>This field specifies the type of demand.</p> <p>The values are:</p> <ul style="list-style-type: none"> <li>• Extend or Settle</li> <li>• Settle</li> <li>• Extend</li> </ul> <p>In case of SWIFT MT 765, system populates the Tag 22G, Transaction Reference Number from the incoming MT 765.</p>	
Claim Currency/ Amount	User can select the currency for claim and enter the claim amount.	
New Expiry Date	<p>System defaults value from Guarantee /SBLC Advise.</p> <p>The New Expiry Date is not earlier than the Expiry Date or not earlier than Branch Date.</p> <p>In case of SWIFT MT 765, system populates the Tag 31E, Transaction Reference Number from the incoming MT 765.</p>	
Response Due Date	User can enter the Response Due Date.	
Demand Statement	<p>This field specifies the narrative text that constitutes the demand.</p> <p>In case of SWIFT MT 765, system populates the Tag 49A, Transaction Reference Number from the incoming MT 765.</p>	
Presentation Completion Details	<p>The user can enter the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed</p> <p>In case of Online, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, as per the value in the incoming message.</p> <p>In case of SWIFT MT 765, system populates the Tag 77, Transaction Reference Number from the incoming MT 765.</p>	


Field	Description	Sample Values
Additional Amount Information	<p>The user can enter the details on additional amount in this field.</p> <p>In case of Online, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, as per the value in the incoming message.</p> <p>In case of SWIFT MT 765, system populates the Tag 78, Transaction Reference Number from the incoming MT 765.</p>	
Intermediary	<p>The user can enter the Intermediary bank details. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution.</p> <p>In case of Online, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, as per the value in the incoming message.</p> <p>In case of SWIFT MT 765, system populates the Tag 56A, Transaction Reference Number from the incoming MT 765.</p>	
Account with Institution	<p>The user can enter the details of Account with Institution.</p> <p>This field specifies the financial institution at which the amount claimed is to be settled.</p> <p>In case of Online, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, as per the value in the incoming message.</p> <p>In case of SWIFT MT 765, system populates the Tag 57A, Transaction Reference Number from the incoming MT 765.</p>	
File Identification	<p>The user can enter the File identification.</p> <p>This field identifies the type of delivery channel and associated file name or reference.</p> <p>In case of Online, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, as per the value in the incoming message.</p> <p>In case of SWIFT MT 765, system populates the Tag 23X, Transaction Reference Number from the incoming MT 765.</p>	

Field	Description	Sample Values
Sender to Receiver Information	<p>The user can enter the Sender to receiver information from the incoming message in this field.</p> <p>In case of Online, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, as per the value in the incoming message.</p> <p>In case of SWIFT MT 765, system populates the Tag 72Z, Transaction Reference Number from the incoming MT 765.</p>	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Issuance scrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.   <b>Note</b> Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

## Document Details

In Document Details, the system defaults the document required under claim. If the claim is received to a Presenting bank, then the documents tile will be read only (non editable). A Data Enrichment user can verify the document details.

If documents to be submitted were provided in the Guarantee Advise they will be defaulted, else the user cannot capture the documents submitted under the claim in this documents hop.

All the Document Details fields are also applicable for STP and are processed in the same manner.

Provide the Document details based on the description in the following table:


Field	Description	Sample Values
Code	User can enter the document code.	
Name	System defaults the document name based on the document code.	
Copy	Copy of the document.	
Original	Original claim document.	
Description	User can enter the description of the document if any.	
Documents Received	User can enter the details of document received.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

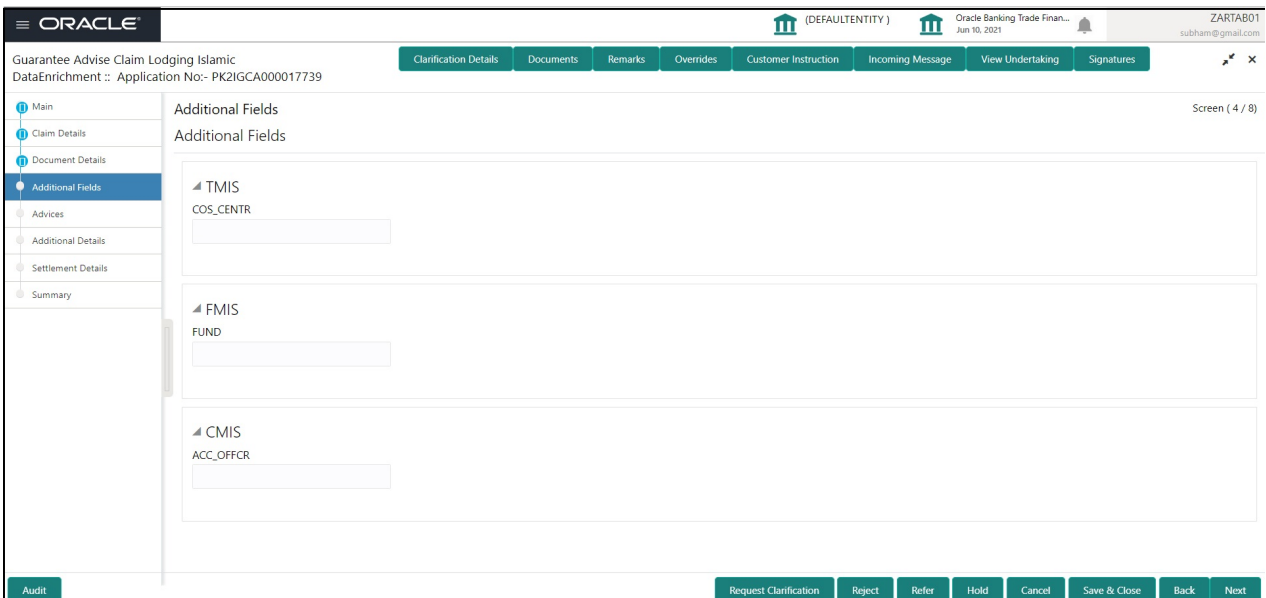
Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Issuance scrutiny stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <div style="text-align: center;">  <p><b>Note</b></p> <p>Not applicable for STP of SWIFT MT 765.</p> </div>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

## Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

The Additional fields are also applicable for STP and are processed in the same manner.



The screenshot shows the Oracle application interface for 'Guarantee Advise Claim Lodging Islamic'. The 'Additional Fields' section is active, displaying three expandable sections:

- TMIS**: COS\_CENTR
- FMIS**: FUND
- CMIS**: ACC\_OFFCR


Each section has a corresponding input field. The interface also includes a navigation menu on the left and a toolbar at the bottom with buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.



## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advise Amendment inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Not applicable for this process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <div style="text-align: center;">  <p><b>Note</b></p> <p>Not applicable for STP of SWIFT MT 765.</p> </div>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

## Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

All the Advices maintained are also applicable for STP and are processed in the same manner. A DE user, can verify the advices details Data Segment of the Guarantee claim request.

Guarantee Advice Claim Lodging Islamic  
DataEnrichment :: Application No:- PK2IGCA000071827

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

- 1 Main
- 2 Claim Details
- 3 Document Details
- 4 Additional Fields
- 5 Advices
- 6 Additional Details
- 7 Settlement Details
- 8 Summary

**Advice : TRADE\_ENVELOPE**

Advice Name: **TRADE\_ENVELOPE**  
 Advice Party : **BEN**  
 Party Name : **GOODCARE PLC**  
 Suppress : **NO**  
 Advice

**Advice : GUA\_CLAIM\_ADV**

Advice Name: **GUA\_CLAIM\_ADV**  
 Advice Party : **ISB**  
 Party Name : **MARKS AND SPENCER**  
 Suppress : **NO**  
 Advice

Audit
Reject Refer Hold Cancel Save & Close Back Next

## Additional Details

As part of Data Enrichment, user can verify and enter the basic additional details available in the Claim request. In case the request is received through online channel user will verify the details populated. As a part of Additional details section, Guarantee /Standby Advise claim may have impact on the Limits & Collaterals.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

All the Additional Details are also applicable for STP and are processed in the same manner.

## Limits & Collateral



On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number” to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limit Details
✕

<p>Customer Id 001044 <input type="text"/></p> <p>Contribution % * 1.0 <input type="text"/></p> <p>Contribution Currency GBP <input type="text"/></p> <p>Limit/Liability Currency GBP <input type="text"/></p> <p>Limit Check Response Available <input type="text"/></p> <p>Expiry Date <input type="text"/></p> <p>Response Message The Earmark can be performed as the f <input type="text"/></p>	<p>Linkage Type * Facility <input type="text"/></p> <p>Liability Number * PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No * PK2L01SL1 <input type="text"/></p> <p>Limits Description <input type="text"/></p> <p>Contribution Amount * <input type="text" value="£220.00"/></p> <p>Limit Available Amount <input type="text" value="£999,999,903.89"/></p> <p>ELCM Reference Number <input type="text"/></p>
--	---

Field	Description	Sample Values
Plus Icon  	Click plus icon to add new Limit Details.	
Delete Icon  	Click delete icon to remove any existing Limit Details.	
Edit  <a href="#">Edit</a>	Click edit link to edit the limit details.	

**Limit Details**

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> <li>• Facility</li> <li>• Liability</li> </ul>	

Field	Description	Sample Values
Line ID/Linkage Ref No	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	Click <b>Search</b> to search and select the Liability Number from the look-up.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field.	
Limits Description	This field will display the description of the limits.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'. This field displays the value, if you click <b>Verify</b> button.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. This field displays the value, if you click <b>Verify</b> button.	
Response Message	Detailed Response message. This field displays the value, if you click <b>Verify</b> button.	

Field	Description	Sample Values
ELCM Reference Number	This field displays the ELCM reference number.	

### Collateral Details

Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

<p>Total Collateral Amount *  <input type="text" value="£90,000.00"/></p>	<p>Collateral Amount to be Collected *  <input type="text" value="£90,000.00"/></p>
<p>Sequence Number  <input type="text" value="2.0"/></p>	<p>Collateral Split % *  <input type="text" value="75.0"/> <span>▼ ▲</span></p>
<p>Collateral Contribution Amount *  <input type="text" value="£67,500.00"/></p>	<p>Settlement Account *  <input type="text" value="PK20010440017"/> <span>🔍</span></p>
<p>Settlement Account Currency  <input type="text" value="GBP"/></p>	<p>Exchange Rate  <input type="text" value="1"/> <span>▼ ▲</span></p>
<p>Contribution Amount in Account Currency  <input type="text"/></p>	<p>Account Available Amount  <input type="text" value="£999,999,999,957,803,300.00"/></p>
<p>Response  <input type="text" value="VS"/></p>	<p>Response Message  <input type="text" value="The amount block can be performed as:"/></p>


Field	Description	Sample Values
<b>Cash Collateral Details</b>		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
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Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Field	Description	Sample Values
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.		
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The guarantee currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Account Balance Check Response	Response for account balance check is defaulted in this field.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

## Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details
✕

Recalculate
Redefault

▲ Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCGCLM			GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017

Page 1 of 1 (1 of 1 items) ⏪ < 1 > ⏩

▲ Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Close



## Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## Tracers Details

The bank users can capture these tracer details for Claim Lodgment in Guarantee and should send the tracers to the customer till its Settled / Extended / Rejected / Injunction.

Tracer Details ×

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Tracer Details

Tracer Code	Description	Party Type	Required	Maximum Tracers	Number Sent	Start Days	Last Sent On	Medium	Frequency	Template Id	Action
GUA_CLM_TRAC			<input checked="" type="checkbox"/>	5		1		▼	1		

Page 1 of 1 (1 of 1 items) Save & Close Close

Field	Description	Sample Values
Tracer Code	Read only field.  Tracer code is defaulted by the system maintained in the Product level.	
Description	Read only field.  Description of the racer code is auto populated.	
Party Type	Specify the party type or click 'Search' to search and select the party type from the lookup.	
Required	Enable this option, if the respective tracer is required.	

Field	Description	Sample Values
Maximum Tracers	<p>Specify the value for maximum number of tracers to be sent.</p> <p>Maximum allowed is 99 exceeding the same system should prompt an error message for the same "Maximum number of numerals allowed is: 2" and should clear the field to enter the correct value by the user.</p> <p>Maximum Tracers cannot be less than the "Number Sent", system needs to validate the same.</p>	
Number Sent	<p>Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the "Maximum Tracers".</p>	
Start Days	<p>Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value.</p>	
Last Sent On	<p>Read only field.</p> <p>Tracer last sent date is defaulted by the system.</p>	
Medium	<p>Select the medium in which the Tracer has to be generated. It lists all the possible mediums maintained in the system.</p> <p>The options are:</p> <ul style="list-style-type: none"> <li>• SWIFT</li> <li>MAIL</li> </ul>	
Frequency	<p>Specify the medium in which the Tracer has to be generated. It should be positive numeric value.</p>	
Template ID	<p>Specify the party type or click 'Search' to search and select the template ID in which the tracer has to be generated from the lookup.</p> <p>It is a lookup which lists all the possible templates maintained in the system.</p> <p>Template ID is nothing but the data that goes in Tag 79 in MT799.</p> <p>This template ID is applicable only for medium 'SWIFT'</p> <p>Template lookup displays all the template ids applicable for the given Tracer Code.</p>	
Action	<p>Click the Edit icon to edit the tracer details.</p>	

## Preview Message

Based on the guarantee Claim captured in the previous screen, the preview message simulated from the back office and the user can view a preview of the outgoing SWIFT message and advise.

Field	Description	Sample Values
Preview SWIFT Message		
Currency	The tax currency is the same as the commission.	
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

## FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
000FNDF20076APGT	USD	GBP	£10,000.00	7.1055 <small>€€€€</small>	£10,000.00			Mar 23, 2020	

Page 1 of 1 (1 of 1 items) | < 1 >

Average FX Rate  
0

[Save & Close](#) [Close](#)

### FX Linkage ✕

<p>FX Reference Number * <input style="width: 90%;" type="text" value="000FNDF20076A9NB"/></p> <p>Contract Amount USD ▼ <input type="text" value="\$100.00"/></p> <p>Linkage Amount * USD ▼ <input type="text" value="\$100.00"/></p> <p>Amount in Contract Currency <input type="text" value="76.34"/></p> <p>FX Delivery Period From <input type="text"/></p>	<p>Currency <input type="text" value="USD"/></p> <p>Available Contract Amount USD ▼ <input type="text" value="\$100.00"/></p> <p>Rate <input type="text" value="1.31"/> ▼ ▲</p> <p>FX Expiry Date <input type="text" value="Mar 20, 2020"/></p> <p>FX Delivery Period To <input type="text"/></p>
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[Save & Close](#) [Close](#)

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at guarantee would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Guarantee Claim.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Guarantee Claim currency should be Sold currency for claim settlement for Guarantees Issued.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linkage Amount	<p>Sum of Linked amount will not be greater than Guarantee Claim Settlement amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
Current Utilized amount	This field displays the the already utilized amount. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	


Field	Description	Sample Values
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a reject description.  This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	



Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>  <p><b>Note</b> Not applicable for STP of SWIFT MT 765.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

## Settlement Details

As part of Data Enrichment, user can verify and enter the basic settlement details available in the Claim request. In case the request is received through online channel user will verify the details populated. All the Settlement Details fields are also applicable for STP and are processed in the same manner.

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Refe
COLLAMT_OSEQ		No	PK20010440017		GBP	No	No			
COLL_AMNDAMTEQ		No	PK20010440017		GBP	No	No			
COLL_AMTEQ		No	PK20010440017		GBP	No	No			
COLL_AMT_DECR		No	PK20010440017		GBP	No	No			
COLL_AMT_INCR		No	PK20010440017		GBP	No	No			
COLL_AVALAMTEQ		No	PK20010440017		GBP	No	No			

Provide the settlement details based on the description in the following table:


Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	

Field	Description	Sample Values
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.   <b>Note</b> Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

## Summary

User can review the summary details in Data Enrichment for Islamic Guarantee Advised Claim request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system, user can see the summary tiles. The tiles must display a list of important fields with values.

The Summary stage is also applicable for STP and are processed in the same manner.

Guarantee Advise Claim Lodging Islamic  
DataEnrichment :: Application No:- PK2IGCA000071827

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main  
Claim Details  
Document Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Screen ( 8 / 8)

Main	Claim Details	Document Details	Additional Fields
Booking Date : 2021-05-05 Submission Mode : Desk Amount : GBP 100	Demand Type : S New ExpiryDate : Intermediary :	Document 1 : CLAIM1 Document 2 : CLAIM1	Click here to view : Additional fields
Advices	Limits and Collaterals	Commission,Charges and Taxes	Preview Messages
Advice 1 : TRADE_ENVE Advice 2 : GUA_CLAIM_	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : GBP50 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Settlement Details	Compliance	Accounting Details	Tracers Details
Component : LIROPCHG_L Account Number : PK20010440 Currency : GBP	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : Account Number : Branch :	Tracer Code : Required : Medium : Frequency :

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Limits and Collaterals - User can view the limits and collateral details. User can modify any field details if required.
- Commission, Charges and taxes- User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Entries - User can view the accounting entries.



#### Note


When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Guarantee Advise Claim.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	On clicking the Back, system should move the task to the previous segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>  <p><b>Note</b> Not applicable for STP of SWIFT MT 765.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user to view the undertaking details.</p>	

## Multi Level Approval

A user can view the summary of details updated in multilevel approval stage of Guarantee claim request. This stage allows the approver user to approve a Claim Lodged under Guarantee Advised Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking
- Amount

- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

Documents
Remarks

Contract Amount

✓

Currency

✓

Maturity Date

📅

✓

Refer
Close
Proceed

In Approval the user can view a snapshot of the changes made to this transaction. Corresponding to the field the current latest Guarantee value and the new amended value is displayed.

## Summary

Islamic Guarantee SBLC Issuance - Claim Settlement

Approval Task Level 1 :: Application No:- PK2IGIC000071819

Documents
Remarks
Overrides
Customer Instruction
Incoming Message
View Undertaking

Main	Additional Fields	Advices	Commission, Charges and taxes	Preview Messages
Booking Date : <b>2021-05-05</b> Submission Mode : <b>Desk</b> Amount : <b>GBP 12</b>	Click here to view Additional fields :	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Language : <b>ENG</b> Preview Message : -
Payment Details	Settlement Details	Party Details	Compliance	Accounting Details
Advance by Loan : Liquidate using : Collateral :	Component : Account Number : Currency :	Beneficiary : <b>MARKS AND</b> Applicant : <b>GOODCARE PLC</b>	KYC : <b>Verified</b> Sanctions : <b>Verified</b> AML : <b>Verified</b>	Event : <b>GCLM</b> Account Number : <b>313100003</b> Branch : <b>PK2</b>
Exception(Approval)				
AmountBlock : <b>EXCEPTION</b> PLEASE VISIT : - REMARKS FOR MORE DETAILS				

Audit
Reject
Hold
Refer
Cancel
Approve

Tiles Displayed in Summary:

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Details - User can view the claim details.



- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Limits and Collaterals - User can view the limits and collateral details. User can modify any field details if required.
- Commission, Charges and taxes- User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Entries - User can view the accounting entries.



**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

**Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the Guarantee Advice approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

## A

Additional Details .....	25
Action Buttons .....	31
Advices .....	21
Action Buttons .....	23, 33
Application Details .....	4

## B

Benefits .....	1
----------------	---

## C

Charge Details .....	29
Claim Details .....	14
Action Buttons .....	17
Commission Details .....	30
Common Initiation Stage .....	2
Action Buttons .....	3

## D

Data Enrichment .....	9
Document Details .....	18
Action Buttons .....	19

## K

Key Features .....	1
--------------------	---

## L

Limits & Collateral .....	25
---------------------------	----

## M

Main Details .....	11
Action Buttons .....	12
Application Details .....	11
Guarantee Details .....	12
Miscellaneous .....	8
Multi Level Approval .....	38
Summary .....	39

## O

Overview .....	1
----------------	---

## P

Preview Message .....	32
Action Buttons .....	33

## R

Registration .....	2, 3
Action Buttons .....	8
Application Details .....	4
Guarantee Details .....	6
Miscellaneous .....	8
Re-Key Authorization .....	38

## T

Tax Details .....	31
-------------------	----

### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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